,	Application No.	Applicant(s)	
1	09/699,522	SIVIK ET AL.	1/
Notice of Allowability	Examiner	Art Unit	
	John R Hardee	1751	11/15
The MAILING DATE of this communication appeals of the communication appeals claims being allowable, PROSECUTION ON THE MERITS IS therewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT ROT the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this applied or other appropriate communication IGHTS. This application is subject to	plication. If not inclu will be mailed in du	ided ie course. <b>THIS</b>
1. X This communication is responsive to applicant's RCE and	amendments.		
2. The allowed claim(s) is/are 28 and 30-43.			
3. $\ \square$ The drawings filed on $\_\_\_$ are accepted by the Examine	er.		
<ol> <li>Acknowledgment is made of a claim for foreign priority und</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ol>	der 35 U.S.C. § 119(a)-(d) or (f).		
<ol> <li>Certified copies of the priority documents have</li> </ol>	e been received.	•	
<ol><li>Certified copies of the priority documents have</li></ol>	e been received in Application No	·	
<ol> <li>Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).</li> </ol>	cuments have been received in this	national stage applic	cation from the
* Certified copies not received:			
5.  Acknowledgment is made of a claim for domestic priority u	nder 35 U.S.C. § 119(e) (to a provisi	onal application).	
(a) The translation of the foreign language provisional a	application has been received.		
6. $igtieq$ Acknowledgment is made of a claim for domestic priority $f u$	nder 35 U.S.C. §§ 120 and/or 121.		
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of			
7.  A SUBSTITUTE OATH OR DECLARATION must be subminFORMAL PATENT APPLICATION (PTO-152) which gives reas			· NOTICE OF
<ul> <li>8. ☐ CORRECTED DRAWINGS must be submitted.</li> <li>(a) ☐ including changes required by the Notice of Draftsper</li> <li>1) ☐ hereto or 2) ☐ to Paper No</li> </ul>	son's Patent Drawing Review ( PTO	-948) attached	
(b) including changes required by the proposed drawing	correction filed, which has be	een approved by the	Examiner.
(c) including changes required by the attached Examiner	's Amendment / Comment or in the	Office action of Pape	er No
Identifying indicia such as the application number (see 37 CFR 1 each sheet.	.84(c)) should be written on the drawii	ngs in the front (not t	he back) of
9. ☐ DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT FOR T			. Note the
Attachment(s)			
<ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statements (PTO-1449), Paper No</li> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	2☐ Notice of Informa 4☐ Interview Summa 6☐ Examiner's Ame 8⊠ Examiner's State 9☐ Other	ary (PTO-413), Pape ndment/Comment	er No
		JOHN HARDE	

Application/Control Number: 09/699,522

Art Unit: 1751

## **DETAILED ACTION**

# Allowable Subject Matter

- 1. Claims 28 and 30-43 are allowed.
- 2. The following is an examiner's statement of reasons for allowance: The closest prior art of record is the references relied upon in the previous office action. Neither reference discloses or makes obvious the use of the monomer ratios recited in the claims as presently amended. Several of the other references generally disclose the use of polymers which meet the structural limitations of polymers recited by applicant, but they do not disclose any monomer ratios. Accordingly, the claims are allowable over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to the examiner, Dr. John R. Hardee, whose telephone number is (703) 305-5599. The examiner can normally be reached on Monday through Friday from 8:00 until 4:30. In the event that the examiner is not available, his supervisor, Dr. Yogendra Gupta, may be reached at (703) 308-4708.

Art Unit: 1751

(703) 308-0661.

4. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is

John R. Hardee Primary Examiner June 19, 2003 Page 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dex 1450 Alexandria, Virginia 22313-1450

### NOTICE OF ALLOWANCE AND FEE(S) DUE

15

27752

7590

06/20/2003

THE PROCTER & GAMBLE COMPANY INTELLECTUAL PROPERTY DIVISION WINTON HILL TECHNICAL CENTER - BOX 161 6110 CENTER HILL AVENUE CINCINNATI, OH 45224

EXAMINER

HARDEE, JOHN R

ART UNIT

CLASS-SUBCLASS

1751

510-475000

DATE MAILED: 06/20/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/699,522	10/30/2000	Mark Robert Sivik	7576R&	7311

TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR USING POLYMERIC SUDS ENHANCERS

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	09/22/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

□ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents Alexandria, Virginia 22313-1450

(703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

06/20/2003

THE PROCTER & GAMBLE COMPANY INTELLECTUAL PROPERTY DIVISION WINTON HILL TECHNICAL CENTER - BOX 161 6110 CENTER HILL AVENUE CINCINNATI, OH 45224

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)	
(Signature)	
(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/699,522	10/30/2000	Mark Robert Sivik	7576R&	7311

TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR USING POLYMERIC SUDS ENHANCERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	09/22/2003
EXAMI	NER	ART UNIT	CLASS-SUBCLASS	^	
HARDEE,	JOHN R	1751	510-475000		
1. Change of corresponder CFR 1.363).	nce address or indication of	'Fee Address" (37	2. For printing on the patent from the names of up to 3 registered	patent attorneys	
☐ Change of correspond Address form PTO/SB/1	ence address (or Change of 22) attached.	Correspondence	or agents OR, alternatively, (2) single firm (having as a mem	ber a registered	••
Address form PTO/SB/122) attached.  □ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			attorney or agent) and the nat registered patent attorneys or ag is listed, no name will be printed.	ents. If no name	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

4a. The following fee(s) are enclosed:	y or categories (will not be printed on the patent) individual corporation or other private group entity gover 4b. Payment of Fee(s):	- Innien				
☐ Issue Fee	☐ A check in the amount of the fee(s) is enclosed.					
□ Publication Fee	☐ Payment by credit card. Form PTO-2038 is attached.	☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).				
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayme  ———————————————————————————————————					
	by the Janua For and Dublication For (if any) on to a small, any annihilation for the description is a first of the					
Commissioner for Patents is requested to appl	ly the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.					

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. 802 1450 Alexandria, Virginia 22313-1450

APPLICATION NO	. F	FILING DATE	FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/699,522		10/30/2000 Mark Robert Sivik		7576R&	7311	
27752	7590	06/20/2003			EXAMI	VER
THE PROCTER & GAMBLE COMPANY INTELLECTUAL PROPERTY DIVISION WINTON HILL TECHNICAL CENTER - BOX 161			HARDEE, JOHN R			
			ART UNIT	PAPER NUMBER		
6110 CENTER HILL AVENUE CINCINNATI, OH 45224				1751		
CINCINNATI	, UH 4322 <sup>2</sup>	+			DATE MAILED: 06/20/2003	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/699,522	10/30/2000 Mark Robert Sivik	7576R&	7311	
27752	7590 06/20/2003		EXAMIN	ER
THE PROCTER & GAMBLE COMPANY INTELLECTUAL PROPERTY DIVISION		HARDEE, JOHN R		
	AL PROPERTY DIVISI L TECHNICAL CENTEI		ART UNIT	PAPER NUMBER
	HILL AVENUE	·	1751	
CINCINNATI, UNITED STAT		•	DATE MAILED: 06/20/2003	

## Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.